

**THE COMPANIES ACT, CH. 81:01**

*(Section 337C(1))*

**DECLARATION OF NON-BENEFICIAL OWNERSHIP**

(to be submitted to the company by a person entered in the register of members)

Name of Company ..... Company No. ....

Presented by .....

I, ....., of  
*(Name Block Letters)*

.....  
*(Address)*

.....  
*(Occupation and Nationality)*

do solemnly and sincerely declare as follows:

1. I am a person whose name is entered in the register of members of *(state the name of the company)* as the holder of *(state the number and class(es) of share(s))* in the company.

2. I became the holder of the said shares on the following date(s) and continue to be at the date of this declaration—

.....  
*(Number and Class of Shares)* .....  
*(Date of Becoming a Member of the Company)*

3. I do not hold the beneficial ownership and/or controlling interest in the said share(s).

4. The natural person(s) who ultimately holds the beneficial ownership and/or controlling interest in the said share(s) is (are)—

.....  
*(Given Name(s) & Surname)* .....  
*(Number and Class of Shares)*  
.....  
*(Address)* .....  
*(Nationality)* .....  
*(Occupation)*

5. The natural person(s) who ultimately holds the beneficial ownership and/or controlling interest in the said share(s)—

- (a) \*owns the shares directly;
  - (b) \*owns the shares indirectly; or
  - (c) \*exercises control of the shares through other means.
- (\*Delete if not applicable)

6.\* The natural person(s) who ultimately holds the beneficial ownership and/or controlling interest in the said share(s)—

- (a) holds directly the beneficial ownership and/or controlling interest in the shares of the intermediate holding company, *(state the name of the company)*, a *(state the status of the company)* \*incorporated/\*formed under the laws of *(state the country of \*incorporation/\*formation)*, whose name is entered in the register of members as the holder of the said shares; or
- (b) \*holds directly the beneficial ownership and/or controlling interest in the shares of *(state the name of the company)* a *(state the status of the company)* \*incorporated/\*formed under the laws of *(state the country of \*incorporation/\*formation)*, who is the intermediate holding company of *(state the name of the company)*, a *(state the status of the company)* incorporated/ formed under the laws of *(state the country of incorporation /formation)*, \*\*whose name is entered in the register of members as the holder of the said shares.

(c) \*exercises control through other means, namely —

.....

*(Explain Control through other means)*

(\*Delete if not applicable)

(\*\*Indicate any other intermediate holding companies in the chain of ownership)

AND I MAKE THIS SOLEMN DECLARATION conscientiously believing the same to be true and according to the provisions of the Statutory Declarations Act, Chap. 7:04.

Sworn to at .....)

.....)

this ..... day of ....., 20 .....

Before me:

.....

*Commissioner of Affidavits*

**NOTE:**

- The form of declaration may be modified/adapted to circumstances in which the declarant/non-beneficial owner is a body corporate or in which there is more than one declarant/non-beneficial owner in respect of a particular share.
- Other relevant particulars may be included in the declaration.
- Status means “corporation”/“limited” or “unlimited liability company”.
- The oath may be taken before any person duly authorized according to the laws of Trinidad and Tobago, e.g., Commissioner of Affidavits, Notary Public, etc.
- The Companies Act, Chapter 81:01 (as amended by the Companies (Amendment) Act, 2019) requires the disclosure of beneficial ownership information, (and any changes), with penalties for non-compliance.